

Item 1: Cover Page



This brochure supplement (Form ADV Part 2B - Individual Disclosure Brochure) provides information about a supervised employee of Ellsworth Advisors, LLC (CRD: 297464). You should have received either the Ellsworth Advisors, LLC Firm Brochure (Form ADV Part 2A) or the Wrap Fee Program Brochure (Form ADV Part 2A - Appendix 1). Please contact Michelle Schwab, Chief Compliance Officer if you did not receive these brochures or if you have any questions about the contents of those brochures or this supplement.

Additional information about Ellsworth Advisors, LLC and **M. Maxwell Schindler, CPFA, CRPC®**, **Managing Director** is also available on the SEC's website at www.adviserinfo.sec.gov.



M. Maxwell Schindler

CPFA – Certified Plan Fiduciary Advisor

CRPC® - Chartered Retirement Planning CounselorSM

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www.ellsworthadvisors.com

Item 2: Educational Background and Business Experience

Name:

M. Maxwell Schindler

Born: April 1987

Educational Background:

John Carrol University
Bachelors Accountancy

Graduated: 2009

Business Experience:

Ellsworth Advisors, LLC
Managing Director

10/2018 – Present

Ellsworth Private Wealth Management
Financial Advisor

01/2015 – 10/2018

Morgan Stanley Smith Barney
FA Trainee

06/2010 – 01/2015

RW Baird
Intern

2005 – 2009

Professional Designations:

CPFA – Certified Plan Fiduciary Advisor

Federal law regulates standards of conduct for those who manage qualified retirement plans. Plan advisers who sell and service these plans must act in accordance with these basic fiduciary principles.

The Certified Plan Fiduciary Adviser (CPFA) credential demonstrates an adviser's knowledge of, expertise in, and commitment to working with retirement plans. Plan advisers who earn their CPFA demonstrate the expertise required to act as a plan fiduciary or help plan fiduciaries manage their roles and responsibilities.

To attain designation of CPFA, an individual must:

- Education – The CPFA Coursework covers four key areas:
 1. ERISA Fiduciary Roles and Responsibilities
 2. ERISA Fiduciary Oversight
 3. ERISA Plan Investment Management
 4. ERISA Plan Management
- The CPFA exam includes 75 multiple choice questions for which a candidate will have 3 hours to complete.

- Continuing Education – In order to maintain credential(s), the candidate must earn 20 CE credits every two-year cycle. Two (2) of the 20 CE credits must be on ethics/professionalism topics.

CRPC® - Chartered Retirement Planning Counselor™

The CRPC program is developed with a focus on client-centered problem solving. The study program to become a CRPC covers the entire retirement planning process, including meeting multiple financial objectives, sources of retirement income, personal savings, employer-sponsored retirement plans, income taxes, retirement cash flow, asset management, estate planning and more. To earn the designation a candidate must:

- Successfully complete the program;
- Pass the final examination; and
- Continued use of the CRPC® designation is subject to ongoing renewal requirements.

Every two years individuals must renew their right to continue using the CRPC® designation by:

- Completing 16 hours of continuing education;
- Paying a biennial renewal fee

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of Ellsworth Advisors, LLC or this supervised employee.

Item 4: Other Business Activities

M. Maxwell Schindler is a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Ellsworth Advisors, LLC always acts in the best interest of the client, including the sale of commissionable products to advisory clients.

Clients always have the option to purchase products through other brokers or agents that are not affiliated with Ellsworth Advisors, LLC.

Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, supervised employees of Ellsworth Advisors, LLC do not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Ellsworth Advisors, LLC.

Item 6: Supervision

All supervised employees of Ellsworth Advisors, LLC are supervised by Michelle Schwab, Chief Compliance Officer. Among her duties, is to review money movements, transaction history, and correspondence between clients and employees. On a case-by-case basis based on facts and circumstances, further review may be warranted.

Michelle Schwab
Chief Compliance Officer
Phone: 234.901.2831
Email: mschwab@ellsworthadvisors.com